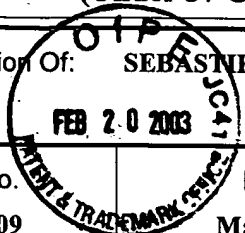


2671

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
13414

In Re Application Of: SEBASTIEN ROY, et al.



Serial No.  
09/538,209

Filing Date  
March 30, 2000

Examiner  
Cliff N. Vo.

Group Art Unit  
2671

Title: METHOD FOR MATCHING A TWO DIMENSIONAL IMAGE TO ONE OF A PLURALITY OF THREE DIMENSIONAL CANDIDATE MODELS CONTAINED IN A DATABASE

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☒ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

13414

In Re Application Of: SEBASTIEN ROY, et al.

Serial No.

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2671

Title: METHOD FOR MATCHING A TWO DIMENSIONAL IMAGE TO  
ONE OF A PLURALITY OF THREE DIMENSIONAL CANDIDATE  
MODELS CONTAINED IN A DATABASE

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013 SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____) on _____ (Date)	
Signature	
Typed or Printed Name of Person Signing Certificate	

\*This certificate may only be used if paying by deposit account.

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on Feb. 13, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.	
Signature of Person Mailing Correspondence	
Typed or Printed Name of Person Mailing Correspondence	

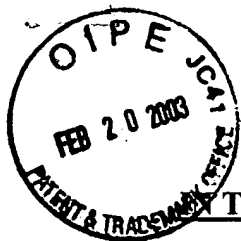
Dated: February 13, 2003

Signature

David J. Torrente  
Registration No. 49,099  
Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530

CC:

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PATENT  
1/17/04

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Sebastien Roy, et al.

**Examiner:** Cliff N. Vo

**Serial No:** 09/538,209

**Art Unit:** 2671

**Filed:** March 30, 2000

**Docket:** 13414

**For:** METHOD FOR MATCHING A TWO  
DIMENSIONAL IMAGE TO ONE OF A  
PLURALITY OF THREE DIMENSIONAL  
CANDIDATE MODELS CONTAINED  
IN A DATABASE

**Date:** February 13, 2003

Assistant Commissioner for Patents  
Washington, D.C. 20231

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**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following reference, which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.

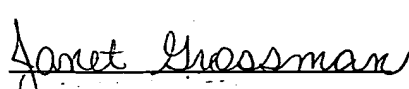
1. T. Riklin-Raviv; A. Shahsua: "The Quotient Image: Class Based Re-rendering and Recognition With Varying Illuminations" Technical Reports of the Leibniz Center, 'Online! January 1999 (1999-01), XPOO2220057, retrieved from the Internet:

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on February 13, 2003.

Dated: February 13, 2003


  
Janet Grossman

URL: <http://leibniz.cs.huji.ac.il/research/abstract.php?abstract=261> 'retrieved on 2002-11-08! \*abstract \* & T. Riklin-Raviv; A. Shahsua: "The Quotient Image: Class Based Rendering and Recognition With Varying Illuminations" IEEE Transactions On Pattern Analysis and Machine Intelligence, vol. 23, no. 2, February 2001 (2001-02), pages 129-139, X001005759 IEEE Inc. New York, US ISSN: 0162-8828.

The reference was cited in a Search Report received from the European Patent Office, dated November 26, 2002. Applicants are submitting a copy of the above-cited reference, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(c)(1) and (e)(1), a statement is enclosed.

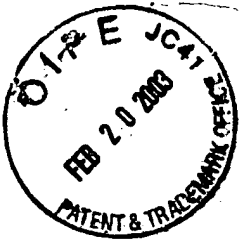
Respectfully submitted,

  
David J. Torrente  
Registration No. 49,099

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

DJT:eg

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**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Sebastien Roy, et al.

**Examiner:** Cliff N. Vo

**Serial No:** 09/538,209

**Art Unit:** 2671

**Filed:** March 30, 2000

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**Date:** February 13, 2003

Assistant Commissioner for Patents  
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Washington, D.C. 20231

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**STATEMENT PURSUANT**  
**TO 37 C.F.R. § 1.97(c)(1) and (e)(1)**

Sir:

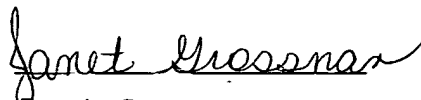
I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on February 13, 2003.

Dated: February 13, 2003

  
Janet Grossman

Accordingly, it is respectfully requested that the accompanying  
Information Disclosure Statement be considered with respect to the above-identified  
application.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "David J. Torrente", with a stylized flourish at the end.

David J. Torrente  
Registration No. 49,099

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Garden City, New York 11530  
(516) 742-4343

DJT:eg